

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Patent Application of)
Motoo Sumida et al.) Group Art Unit: Unassigned
Application No.: Unassigned) Examiner: Unassigned
Filed: October 19, 2004) Confirmation No.: Unassigned
For: ASTAXANTHIN MEDIUM-CHAIN FATTY ACID ESTER, PRODUCTION METHOD OF THE SAME, AND COMPOSITION COMPRISING THE SAME))))

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98. Also enclosed is a copy of the International Search Report dated August 12, 2003.

FOREIGN PATENTS

- 1. Japanese Patent Application No. 11-290094 A, published October 26, 1999.
- 2. Japanese Patent Application No. 1-202261 A, published August 15, 1989.
- 3. Japanese Patent Application No. 7-300421 A, published November 14, 1995.

NON-PATENT DOCUMENTS

1. VINCENT et al., "Variation de la composition en acides gras des monoesters de zeaxanthine et d'astaxanthine dans l'ovare et l'hepatopancresa de Panaeus schmitti au cours de l'ovogenese," Archives Internationales de Physiologie et de Biochimie, 1989, pp. 71-78, vol. 97, no. 1.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date <u>October 20, 2004</u>

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Substitute for form 1449A/PTO & 1449B/PTO				Con	np Known		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 19, 2004				
		First Named Inventor	Motoo Sumida et al.				
(use as many sheets as necessary)			cessary)	Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	030685-045		

U.S. PATENT DOCUMENTS				
Examiner Initials Document Number Kind Code (if known) Name of Patentee or of Cited Docum		Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)	

FOREIGN PATENT DOCUMENTS											
					STATUS						
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Spec
	11-290094	Α	Japan	10-26-1999				X			\vdash
	1-202261	Α	Japan	08-15-1989				Х			t
	7-300421	Α	Japan	11-14-1995				Х			
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NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials						

Examiner	Date	
Signature	Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.